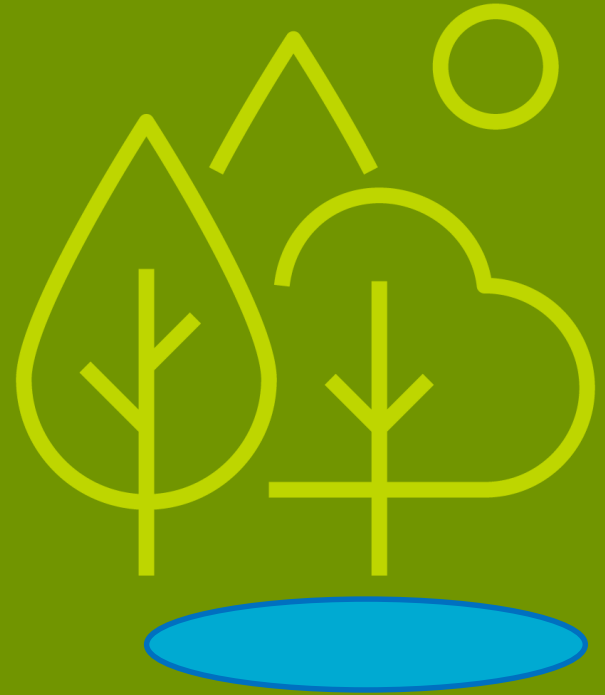


# Surface Water Allocation Directive

---

## Information Session

Alberta Environment and Parks  
Water Policy  
Fish and Wildlife Habitat Policy  
Edmonton, AB Oct 2, 2018



# Surface Water Allocation Directive

---

## **Michael Seneka**

Senior Hydrologic Specialist  
Acting Director, Surface Water Allocation Policy  
Water Policy Branch  
Alberta Environment and Parks

## **Dave Stepnisky**

Director, Fish and Wildlife Habitat Policy  
Fish and Wildlife Policy Branch  
Alberta Environment and Parks

## **Lauren Makowecki**

Provincial Environmental Flows Biologist  
Fish and Wildlife Policy Branch  
Alberta Environment and Parks

## **Andrew Paul**

Provincial Environmental Flows Specialist  
Fish and Wildlife Policy Branch  
Alberta Environment and Parks

# Agenda

---



## Surface Water Allocation Directive

- Background and Watershed assessment
- Rivers assessment
- Lakes assessment and Best practices
- Next steps and timelines



**Questions** after each section and at end of presentation



Opportunity to provide comments via online survey (Oct 1 - 31)

<https://talkaep.alberta.ca/surfacewaterallocation>

# Background and Watershed assessment

---

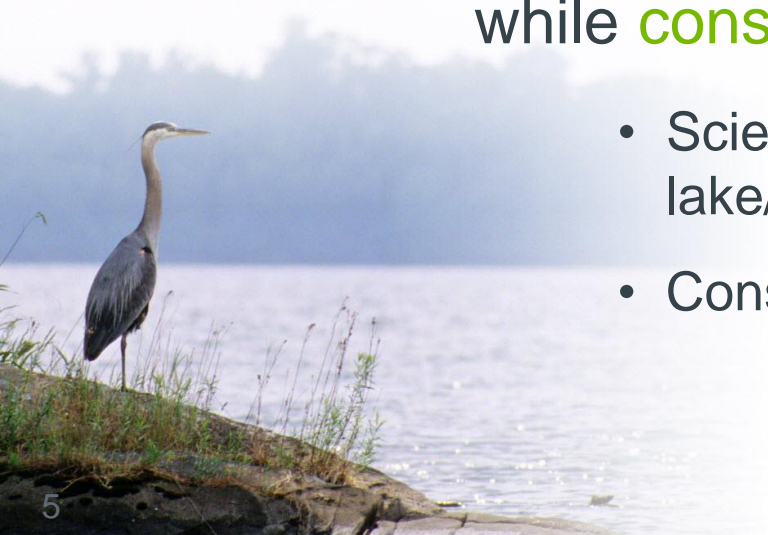
- Objective
- Science and rationale
- Policy context
- Approach

# Objective

---

Water allocation guidance to balance  
minimizing impacts to the aquatic environment  
while considering economic water needs.

- Science-based rulesets for river/stream and lake/wetland water allocation
- Consistent and predictable across the province

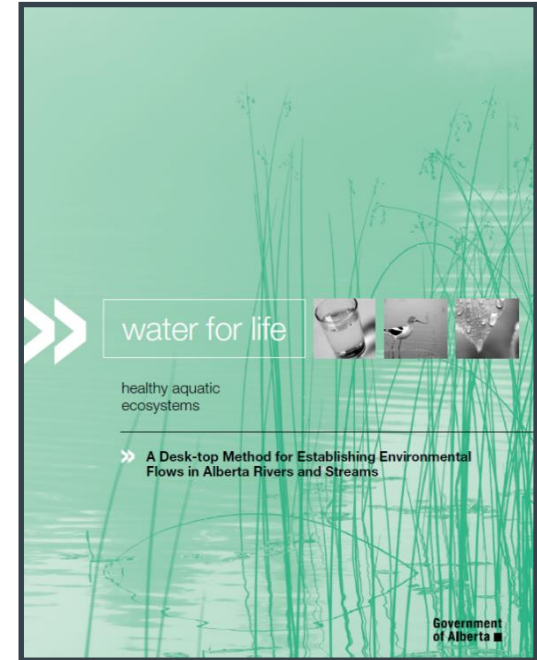


# Based on the Alberta Desktop

---

Objective: Full protection of the riverine environment

No measureable environmental decline over the long term due to human changes in the flow regime.



Locke & Paul 2011

Alberta

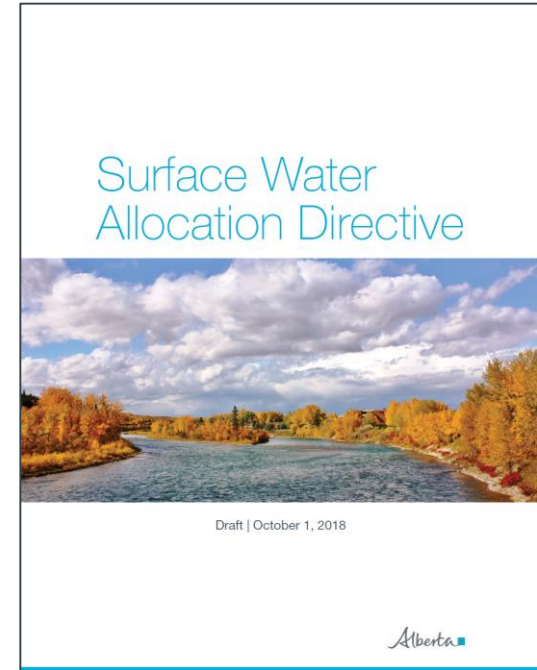
# ...but different

---

Objective: Minimize impacts to the aquatic environment while considering economic water needs

Intended to support all outcomes of *Water for Life*:

- healthy aquatic ecosystems
- reliable, quality water supplies for a sustainable economy
- safe, secure drinking water



# Alberta Desktop

---

- Guideline for water allocation
- Provides full protection of the aquatic environment
- Ecosystem base flow (cut off) for all rivers and streams
- Same limits for all stream sizes
- Does not provide guidance for lakes

# Directive

---

- Policy for water allocation
- Considers both healthy environments and human water use for a sustainable economy
- Ecosystem base flow for small to medium rivers and streams; no cut off for larger rivers
- Provides increased protection for smaller systems
- New guidance for standing water bodies



# Policy context

---

Primary legislative basis is the *Water Act*:

- Does not affect existing licences
- Applicable to all temporary diversion licences and new licences
- May be applied at time of licence renewal
- Applicable to all sectors

# Policy context

---

Directive does **not** replace or override other policy, regulations, legislation:

- Ministerial Order or decision of the Lieutenant Governor in Council
- Water management plans, water conservation objectives
- Land-use or environmental management frameworks
- Transboundary agreements and fisheries management objectives

Addresses the gap where no guidance currently exists

# Policy context

---

For example, the Directive does not apply in the South Saskatchewan River Basin



# Watershed Assessment (W1)

---

Water balance approach to determine if the proposed diversion is sustainable

A proposed diversion is considered **cumulatively** with other allocations

- evaluate environmental risk
- impact to existing users of the water source

Watershed can sustain the proposed diversion when:

**Yearly cumulative allocations  $\leq$  12% mean annual flow**

# Low risk allocation screening

---

- For a significant number of licence applications, volumes applied for:
  - Are relatively small, and/or one-time or short-term;
  - Are small relative to the size of source
- Administrative resources best directed to applications with potentially greater risk to aquatic environment and other water users

# Low risk allocation screening

---

- Low-risk screening criteria does not mean applications above the screening criteria are high-risk
- Screening criteria for flowing and non-flowing waters presented in following sections

# Questions?

---



# Rivers Assessment

---

- Rationale
- Method
- Low risk screening criteria



# Alberta Desktop

---

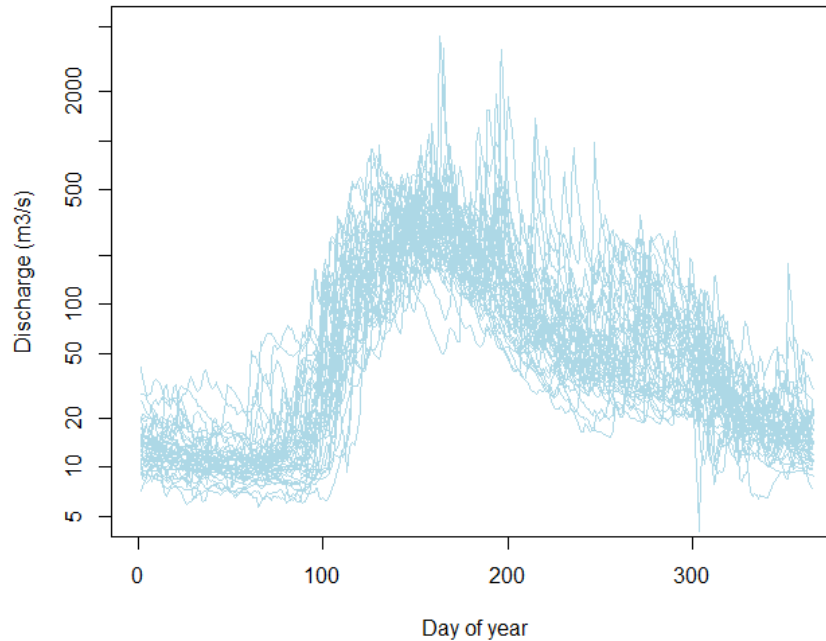
Mean Annual Discharge - Known (m <sup>3</sup> /s)	Mean Annual Discharge - Unknown Stream Order	Natural Weekly Flow (% exceedance)		
		>Q80	≤Q80 - >Q95	≤Q95
≥10	≥7	15%	0%	0%
≥2 - <10	5 or 6	15%	0%	0%
<2	≤4	15%	0%	0%

- Alberta desktop method based on **percent of natural flow** and **ecosystem base flow components**

# Alberta Desktop

---

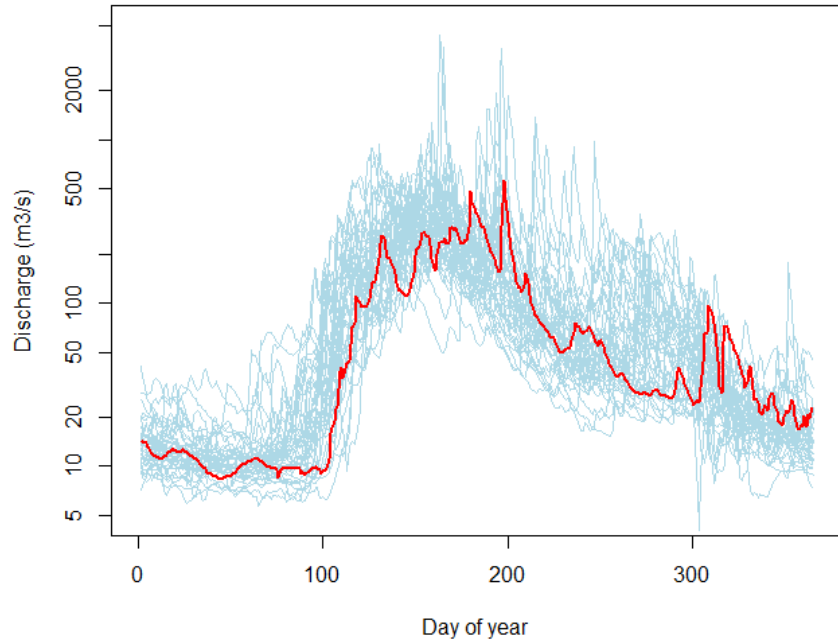
## Naturalized flows in Wapiti River near Grande Prairie



# Alberta Desktop

---

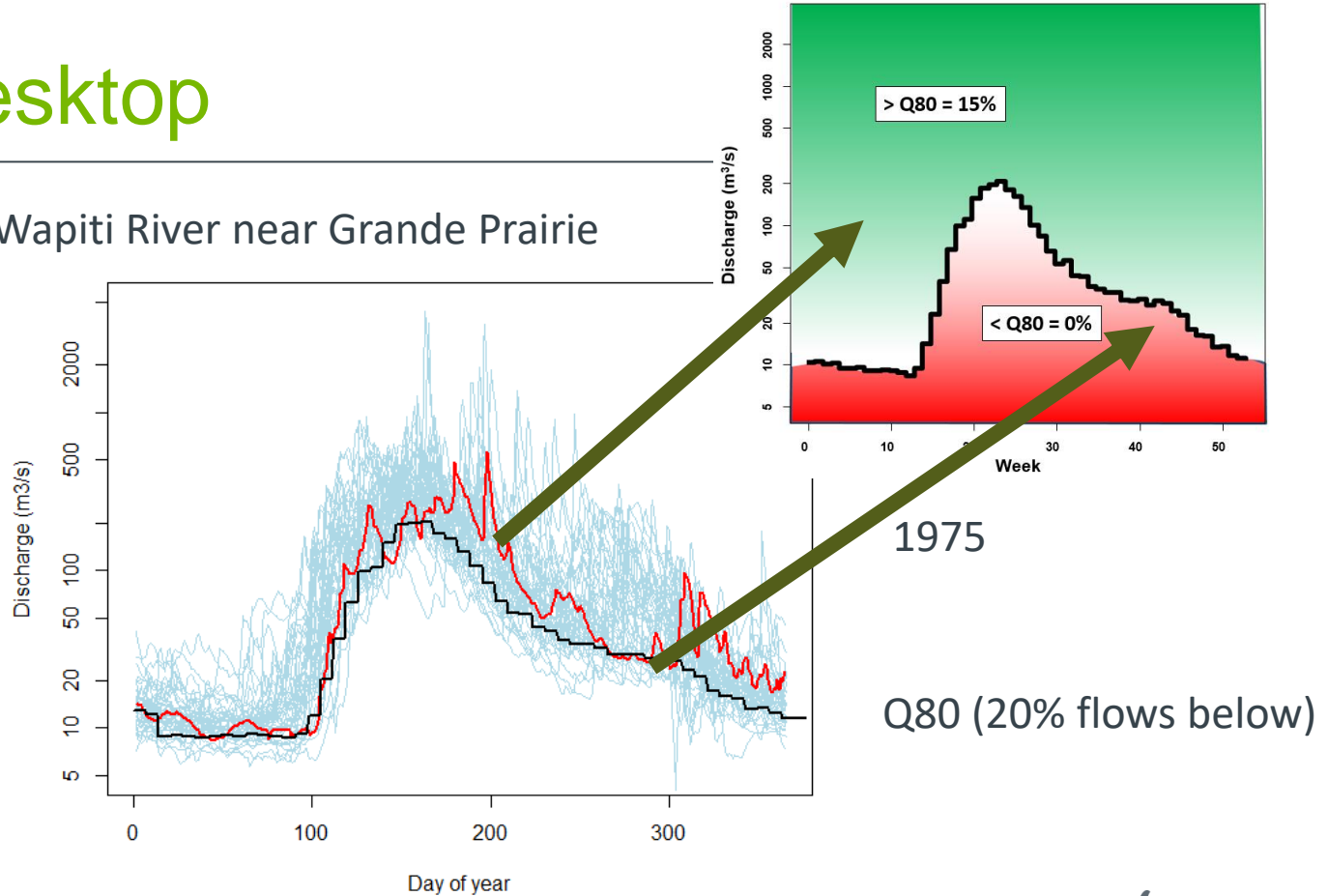
## Naturalized flows in Wapiti River near Grande Prairie



1975

# Alberta Desktop

Naturalized flows in Wapiti River near Grande Prairie



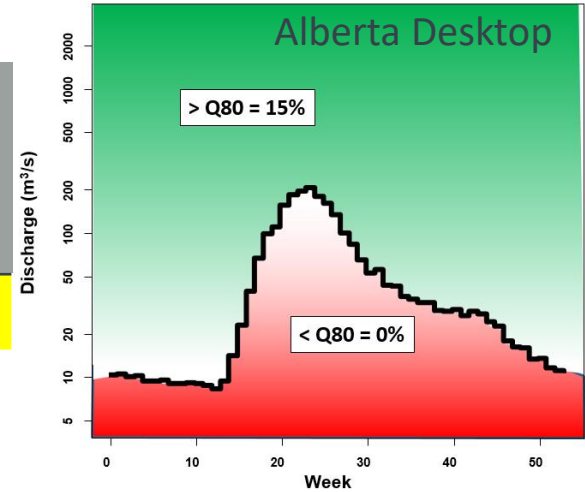
# Directive approach – Rivers

---

- As in the Alberta desktop method, river limits are based on the percent of natural flow component and an ecosystem base flow component
- Ecological flow requirements may be proportionally greater in smaller systems (Rosenfeld et al. 2007)
- Smaller systems are provided greater protection
- Larger systems allow feasibility for social/economic considerations but still protective

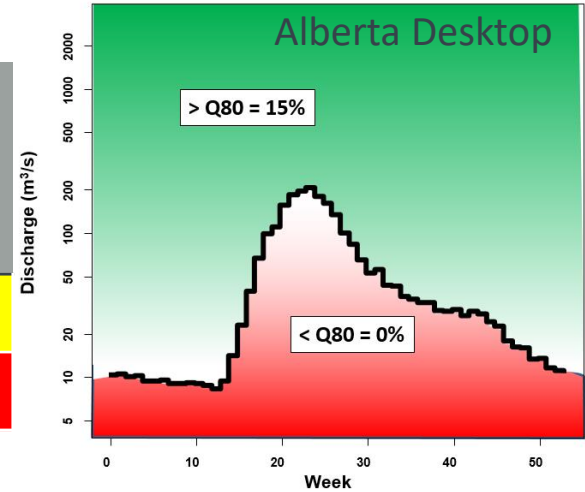
# Rivers Assessment (R1)

Mean Annual Discharge - Known (m <sup>3</sup> /s)	Mean Annual Discharge - Unknown Stream Order	Natural Weekly Flow (% exceedance)		
		>Q80	≤Q80 - >Q95	≤Q95
≥10	≥7	15%	5%	5%



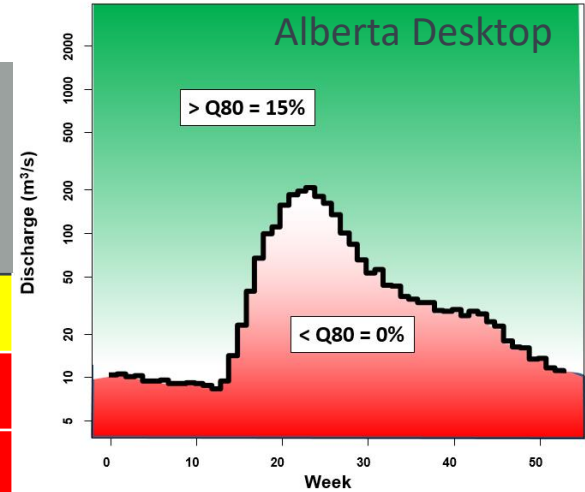
# Rivers Assessment (R1)

Mean Annual Discharge - Known (m <sup>3</sup> /s)	Mean Annual Discharge - Unknown Stream Order	Natural Weekly Flow (% exceedance)		
		>Q80	≤Q80 - >Q95	≤Q95
≥10	≥7	15%	5%	5%
≥2 - <10	5 or 6	15%	5%	0%



# Rivers Assessment (R1)

Mean Annual Discharge - Known (m <sup>3</sup> /s)	Mean Annual Discharge - Unknown Stream Order	Natural Weekly Flow (% exceedance)		
		>Q80	≤Q80 - >Q95	≤Q95
≥10	≥7	15%	5%	5%
≥2 - <10	5 or 6	15%	5%	0%
<2	≤4	10%	0%	0%





# Screening criteria for lower-risk applications – rivers

# Low risk screening for rivers

---

May to October Stream Order	November to April Stream Order	Allocation (m <sup>3</sup> )	Maximum Diversion Rate (m <sup>3</sup> /s)
1 and 2	1, 2 and 3	100	0.010
3	4	200	0.010
4	5	500	0.015
5	6	1,000	0.020
6	7	2,500	0.030
7+	8+	5,000	0.040

# Questions?

---



# Lakes Assessment and Best Management Practices

---

- Approach
  - Lakes ruleset
  - Low risk screening criteria
- 
- Best management practices

# Lakes: considerations and constraints

---

- **Flowing and non-flowing water bodies** co-exist and are connected within watersheds:
  - *How to align with an existing Alberta desktop method created for rivers and streams?*
- Lakes and other water bodies have one important characteristic that distinguishes them from flowing systems – capacity to **hold and store** water

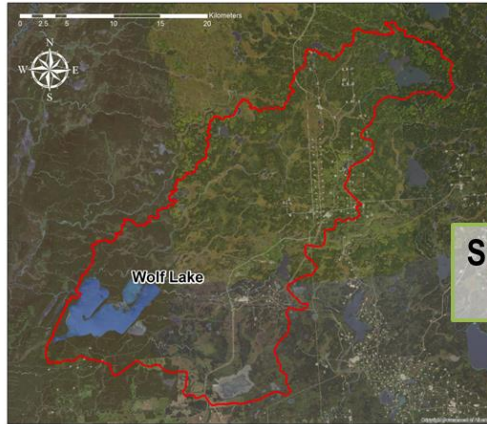
# Lakes: considerations and constraints

---

- Streamflow data is scalable across similar watersheds, but lakes are driven by **individual geography** and **relative contributing watershed size**
  - Creating generalized rules comparatively more difficult.
  - Alberta has tens of thousands of individual water bodies across many orders of magnitude of size – the majority having virtually no specific physical information or recorded data.

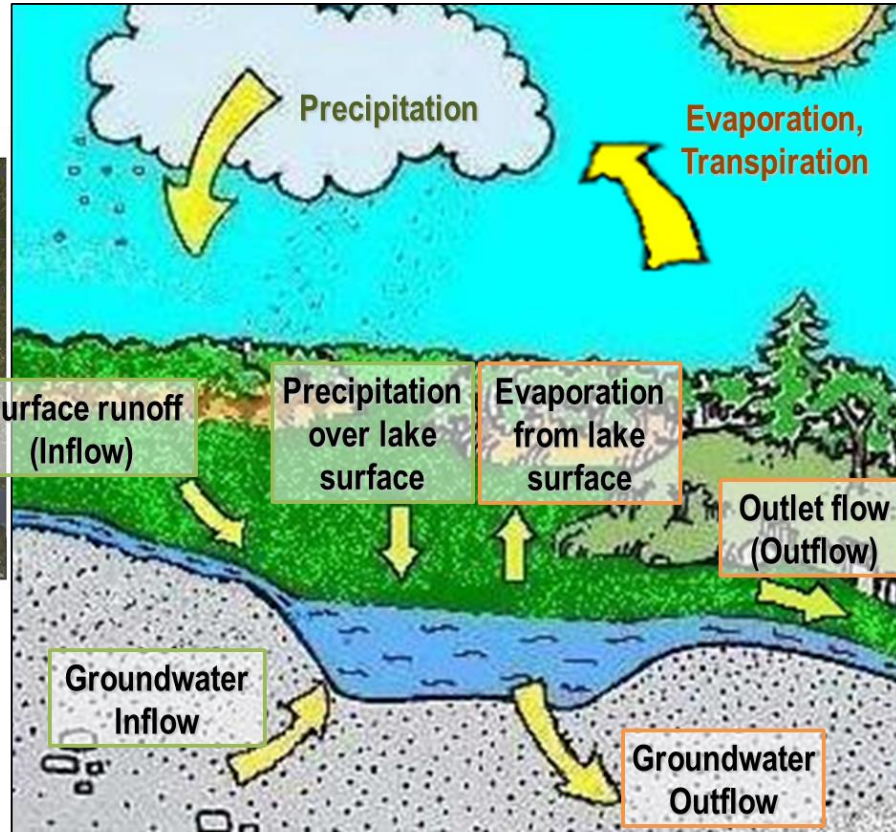
The fundamental premise for **lakes** and **other non-flowing water bodies** is to take a water balance approach

# Water balance – main components



Lake surface area

Contributing watershed area





# Water balance equation

---

- For any time step:

$$\textit{Inflow}(s) \pm \textit{Change in Storage} = \textit{Outflow}(s)$$

Where:

- **Inflows** are hydrologic contributions to the water balance (water coming into the water body)
- **Outflows** are hydrologic deductions to the water balance (water leaving the water body)
- **Change in Storage** is the difference in water level in the water body (converted to a volume of water)

# Inflow components

---

- **Direct precipitation** contributes as rain and snow water equivalent, falling and accumulating over the surface area of the lake
- **Surface runoff** contributes as overland and near-surface flow generated from the catchment area of the lake watershed (also called runoff yield)

# Outflow components

---

- **Evaporation** deducts from the water balance as a loss occurring over the surface area of the lake
- **Lake outflow** deducts from the water balance based on the water level of the water body, and characteristics/properties defined by an outlet rating curve, for example:
  - sill elevation (bottom depth) of the outlet channel
  - shape (geometry) and slope of the outlet channel

# Groundwater – *assumptions*

---

- Quantified groundwater discharge to a water body (inflow) and/or groundwater recharge from a water body (outflow) is generally unknown or unavailable
  - Estimates for some lakes have been attempted;
  - Anecdotal evidence may suggest lakes may be “net gaining” or “net losing” entities

Unless evidence to the contrary, often assumed that over the long-term, the net groundwater flux is ~zero

# Simplified long-term water balance

---

- For long-term estimated water balance, use annual time step and assume **change in storage** is zero (water body reverts to a mean level over long-term)

$$\textit{Surface Runoff} + \textit{Direct Precipitation} - \textit{Lake Evaporation} (\pm \textit{Groundwater}) = \textit{Net Outflow}$$

Where **Net Outflow** is the **mean annual net water balance**, and must be greater than or equal zero for a perennial lake.

# Criteria for lakes and other non-flowing water bodies

# Wildlife Sensitivity (L1)

---

- Species sensitive to human disturbance
  - activity may cause nest abandonment, disruption and decreased survival
  - guided by federally mandated Recovery Plans
  - aligns with Master Schedule of Standards and Conditions, 2017



piping plover



trumpeter swan



great blue heron

colonial nesters



American white pelican

# Wildlife Sensitivity Restriction

---

Sensitive wildlife species	Breeding season	Restriction
Trumpeter swan	April 1 – September 30	No water diversions during breeding season
Colonial nesting birds	April 15 – July 31	
Piping plover	April 15 – July 31	



# Annual Allocation Limit (L2)

---

- Based on **mean annual net water balance** calculation (or the mean annual outflow)
- Provides a maximum annual allocation volume = **12% of the mean annual net water balance**

# Seasonal Allocation Limits (L3)

---

- Separate considerations for two defined seasons:
  - Open water criteria/limit (April-October; 7 months)
  - Under ice criteria/limit (November-March; 5 months)
- Provides additional flexibility (open water season)
- Provides additional protective considerations in winter (most sensitive period)

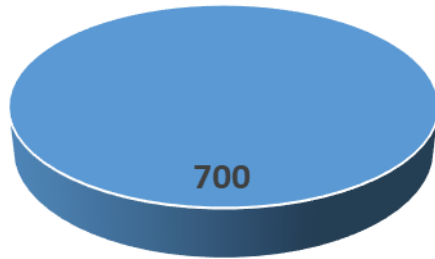
# Open water (April-October)

---

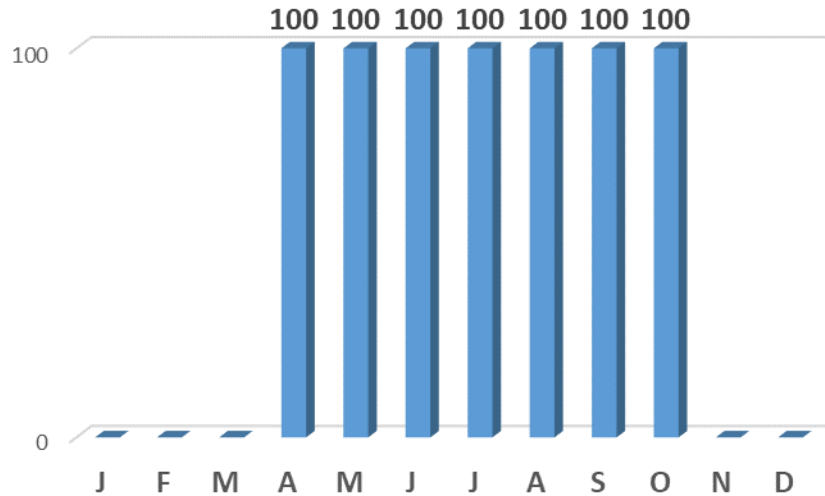
- Approach taken:
  - The mean annual net water balance (mean annual outflow) occurs during the seven month open water period; and
  - The mean annual outflow is apportioned equally across those seven months

# Open water (April-October)

- Approach taken:



■ Mean annual outflow



# Open water (April-October)

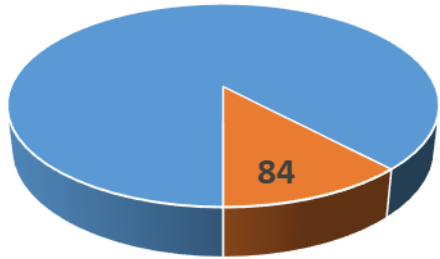
---

- The maximum monthly allocation volume in the open water period  
**= 15% of the apportioned mean monthly outflow**

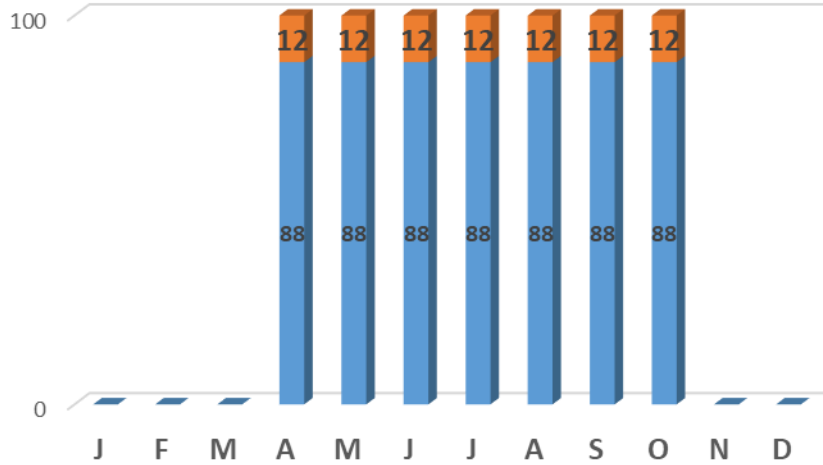
**And is capped**

to the annual allocation limit (12% of mean annual outflow)

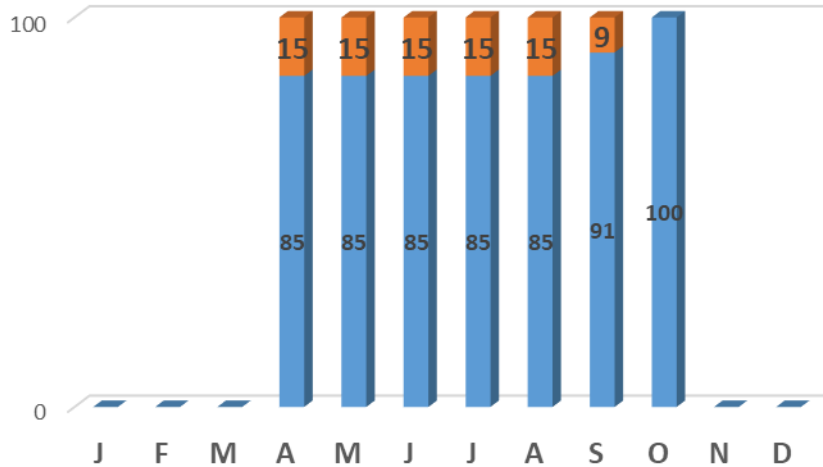
# Open water



■ 12% Mean annual outflow



◀ e.g. “equal portions”



◀ e.g. “15% portions”

# Winter/Under ice (November-March)

---

- Approach taken:
  - Assumption of little/no outflow or hydrologic recharge
  - Assumption that diversions in winter/under ice will generally draw upon stored water

# Winter/Under ice (November-March)

---

- The maximum monthly allocation volume for the under ice period **is the minimum of:**
  - The maximum monthly open water rate; or
  - A volumetric-equivalent depth limit of 4 cm/month, up to a 10 cm total maximum over the under ice period
- And,
  - Total volume allocated over the winter period must be less than 5% of the under ice lake water volume



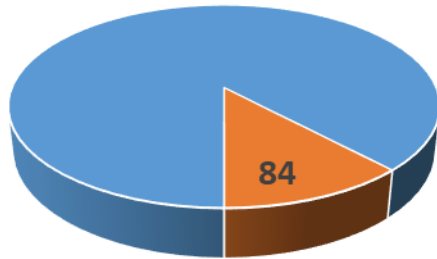
# Maximum allocation is capped

---

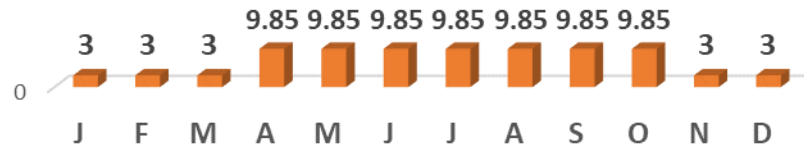
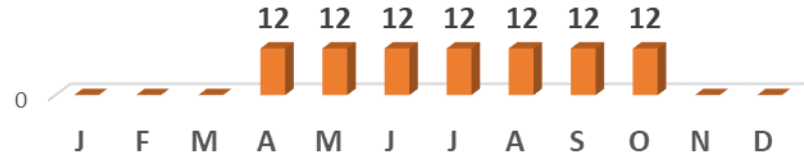
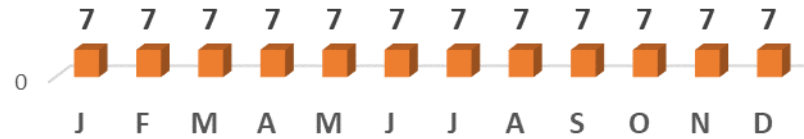
- Regardless of flexible timing between open water and under ice, total annual volume diverted can not exceed maximum volume from the net annual balance, i.e. *12% of the mean annual outflow*
- And,  
Individual seasonal limits govern; winter limits may mean some volume is accessible only within open water season

# Within annual limit

*Examples for illustration only*



■ 12% Mean annual outflow



# Screening criteria for lower-risk applications – lakes and other non-flowing water bodies

# Key risk factors

---

- For lakes/wetlands/non-flowing water bodies, there are two key considerations in assessing potential for impact:
  - Volume of allocation compared to volume of source (or, the equivalent potential drawdown depth);
  - How quickly the allocation is proposed to be diverted (how fast can the drawdown occur)

# Low risk screening for lakes

---

$[area](ha) >$

$$0.06379 \times [allocation]^{(0.776)}(m^3) \times [max.rate]^{(0.244)}(m^3/s)$$

*“You need a minimum size of water body,  
to sustain a diversion of specified volume and rate,  
to not drop the water level too quickly”*

# Low risk screening for lakes

---

- In addition, test for equivalent depth of 2 cm\* or less (regardless of duration of diversion)
  - Addresses TDLs from ephemeral/seasonal water bodies

$$[\mathit{area}](\mathit{ha}) > [\mathit{allocation}](\mathit{m}^3) \div 200$$

- And  $[\mathit{allocation}] < 100,000 \text{ m}^3$  regardless of water body size

\* Must consider any previous or cumulative diversions; i.e. not go back to same water body repeatedly with multiple small diversions

# Best management practices

# Best Management Practices

---

1. Select artificial water bodies over natural water bodies.
  - Water bodies which are not stocked and have no sportfish
  - Man-made flowing channels such as canals and ditches
  - Treated wastewater sources
2. Select larger mainstem rivers over small streams.
  - Preference to rivers and streams that do not support sensitive fish species
  - Avoid watercourses designated Class A (critical fish habitat protection areas)
3. Select larger, deeper lakes over small, shallow lakes.
  - Diversions from lakes in winter should be extracted from deeper water
  - Preference to non-fish bearing lakes and wetlands
  - Avoidance of lakes with significant public recreational use value (stocked ponds)



# Best Management Practices

---

4. Screens on water intake equipment are required as per DFO.
5. Include water level monitoring (simple fixed reference level) in licensing conditions and standard clauses.
  - Measurement and reporting of data improves accountability and certainty for both licensee and regulator
6. Avoid amphibian breeding habitat from shallow water bodies (April 25 – May 31).
7. Avoid discharge into water bodies with piping plovers during breeding season (April 15 – July 31).

# Questions?

---



# Next steps and timelines

---

Opportunity to provide feedback and comments  
via online survey (October 1 - 31)

<https://talkaep.alberta.ca/surfacewaterallocation>

Timeline for final approval and publication of Directive  
is dependent on comments received

# Questions?

---



<https://talkaep.alberta.ca/surfacewaterallocation>