

Reporting and investigating injuries and incidents

OHS information for employers, prime contractors and workers

Key information

- The types of incidents and injuries reportable to government are:
 - serious injuries or incidents,
 - incidents at a mine or mine site, and
 - potentially serious incidents (PSIs).
- It is the responsibility of the prime contractor, or if there isn't one, the employer of the work site to report the injury or incident.
- Serious injuries or incidents must be reported as soon as possible to the OHS Contact Centre at 1-866-415-8690.
- PSIs and mine and mine site incidents must be reported through the online reporting service at oirportal.labour.alberta.ca.

Reporting serious incidents

Report the following serious injuries or incidents to the OHS Contact Centre as soon as possible.

Injuries or incidents that:

- result in the death of a worker
- result in a worker being admitted to hospital
- involve an unplanned or uncontrolled explosion, fire or flood that causes, or has the potential of causing, a serious injury
- involve the collapse or upset of a crane, derrick or hoist
- involve the collapse or failure of any component of a building or structure necessary for its structural integrity

“Admitted to a hospital” is when a physician writes admitting orders that result in the worker being an inpatient of the hospital but excludes workers assessed in an emergency room or urgent care centre and being released without being admitted to the hospital.



- OHS Act s.40(2b)

What information is required when reporting a serious incident?

Callers need to be prepared to provide the following information:

- the location of the injury or incident
- the time and date when the injury or incident happened
- the name of the employer(s) involved
- the name, job title and phone number(s) of the site contact
- a general description of what happened

Additional information may be requested but is not limited to the following:

- the employer's relationship to the work site, i.e. owner, prime contractor, contractor, or supplier
- the injured worker's name and job title (if applicable)
- the name and location of the hospital where the worker was taken (if applicable)

Reporting PSIs or mine and mine site incidents

The employer or prime contractor (if there is a prime contractor) is responsible for incident reporting.

Use the online reporting service to report a PSI or an incident listed under section 40(4) of the *OHS Act* to the Director of Inspection as soon as possible.

- For mine and mine site incidents, under “Description of Incident” on the online reporting form, indicate the **mine name** and the **specific incident from section 40(4)** that is being reported.

Read **Reporting and investigating potentially serious incidents (LI016-1)** and **Reporting and investigating occupational health and safety incidents at a mine or mine site (LI016-2)** to learn more about these incidents and how to report them.

A worker wishing to report an incident should contact the OHS Contact Centre.

Incident scene

The scene of a serious injury or incident must not be disturbed unless:

- an OHS officer gives permission to do so,
- for the purpose of attending to a person who has been injured or killed,
- actions must be taken to prevent further injuries, or
- property endangered by the incident must be protected.

There is no longer a prohibition against disturbing the scene of a mine or mine site incident reportable under section 40(3) of the *OHS Act*, unless an OHS officer orders such a prohibition. A Director’s Order issued on November 1, 2019, allows for the employer or prime contractor to complete an investigation and, at their discretion, continue work following the investigation.

An employer or prime contractor is not required to secure the scene of a PSI.

Investigating an incident

Employers or prime contractors are required to complete their own independent investigation. For help completing the investigation report, read **Sample injury or incident investigation report template (LI016TMP)**.



An employer or prime contractor (if there is one) must conduct an investigation when any reportable incident happens.

To meet their obligations under the *OHS Act*, the employer or prime contractor (if there is one) must:

- Investigate the circumstances surrounding the incident. Involve the joint work site health and safety committee (HSC) or health and safety (HR) representative, if there is one.
- Prepare a report that outlines the circumstances of the incident and corrective actions taken, if any.
- Ensure a copy of the report is readily available and given to an OHS officer on demand.
- Provide a copy of the report to a Director of Inspection and the HSC or HS representative, if applicable. If there is no HSC or HS representative, a copy must be made available to workers once the investigation is complete.
- Retain a copy of the report for at least two years after the incident.

What happens after an incident is reported to OHS?

An OHS officer or investigator may contact the employer or prime contractor and/or attend the incident scene to gather additional information or conduct an inspection or investigation. They have the authority but are not limited to do following activities:

- Visit the scene of the incident.
- Collect information, including electronic documents that relate to the health and safety of

workers, as well as statements from persons as needed.

- Document production, seize or take samples of any substance, material, product, tool, appliance or equipment that was present at, involved in, or related to the incident.
- Enter any place or area at the work site that the injury or incident occurred where the officer believes related information exists.

A statement given is not admissible in evidence for any purpose in a trial, public inquiry under the *Fatalities Inquiries Act* or any other proceeding except:

- (a) to prove non-compliance with this section in an action or proceeding,
- (b) to prove the commission of an offense under section 74(3) in an action or proceeding,
- (c) to prove the giving of contradictory evidence in an action or proceeding, or
- (d) as evidence in a review under section 70(1) or an appeal as listed in clauses 51(6)(d)(ii) and (iii).

-OHS Act s.53(7)



Every person present when an injury or incident occurred or who has information relating to the injury or incident shall, at the request of the officer, provide any information respecting the injury or incident.



-OHS Act s.53(2)

What compliance tools may an officer use?

OHS enforces compliance with provincial legislation through inspections, investigations, education of legislative requirements, and enhanced enforcement measures. OHS officers play an important role in enforcing compliance and have the authority to enforce the legislation in a number of ways, including:

- conducting inspections
- issuing orders
- writing tickets
- issuing administrative penalties

Can a work site refuse entrance or interfere with an officer?

No person is allowed to interfere with or hinder an officer with performing their duties and functions.

Examples of this would be:

- denying officer access to all or part of a work site
- telling an officer that they must make an appointment to complete their work
- refusing to allow an officer to privately interview a worker

What is a PSI?

An injury is considered serious if it falls under section 40(2)(a) or (b) of the *OHS Act*, in that it:

- results in a fatality, or
- causes an individual to be admitted to hospital as an inpatient.

A PSI is any event where a reasonable and informed person would determine that under slightly different circumstances, there would be a high likelihood for a serious injury to a person.

A PSI is not limited to workers and it does not require the occurrence of an injury.

When determining whether an incident is a PSI, the following factors should be taken into consideration:

- actual circumstances of the incident (person, place, time, work practices being followed)
- hazards present at the time of the incident
- appropriate controls in place at the time of the incident
- slightly different circumstances (timing, distance, body position, etc.) that may have resulted in a serious injury
- similar incidents that have occurred within the employer or prime contractor's operations in the past 2 years that resulted in a serious injury

Mine or mine site reportable incidents

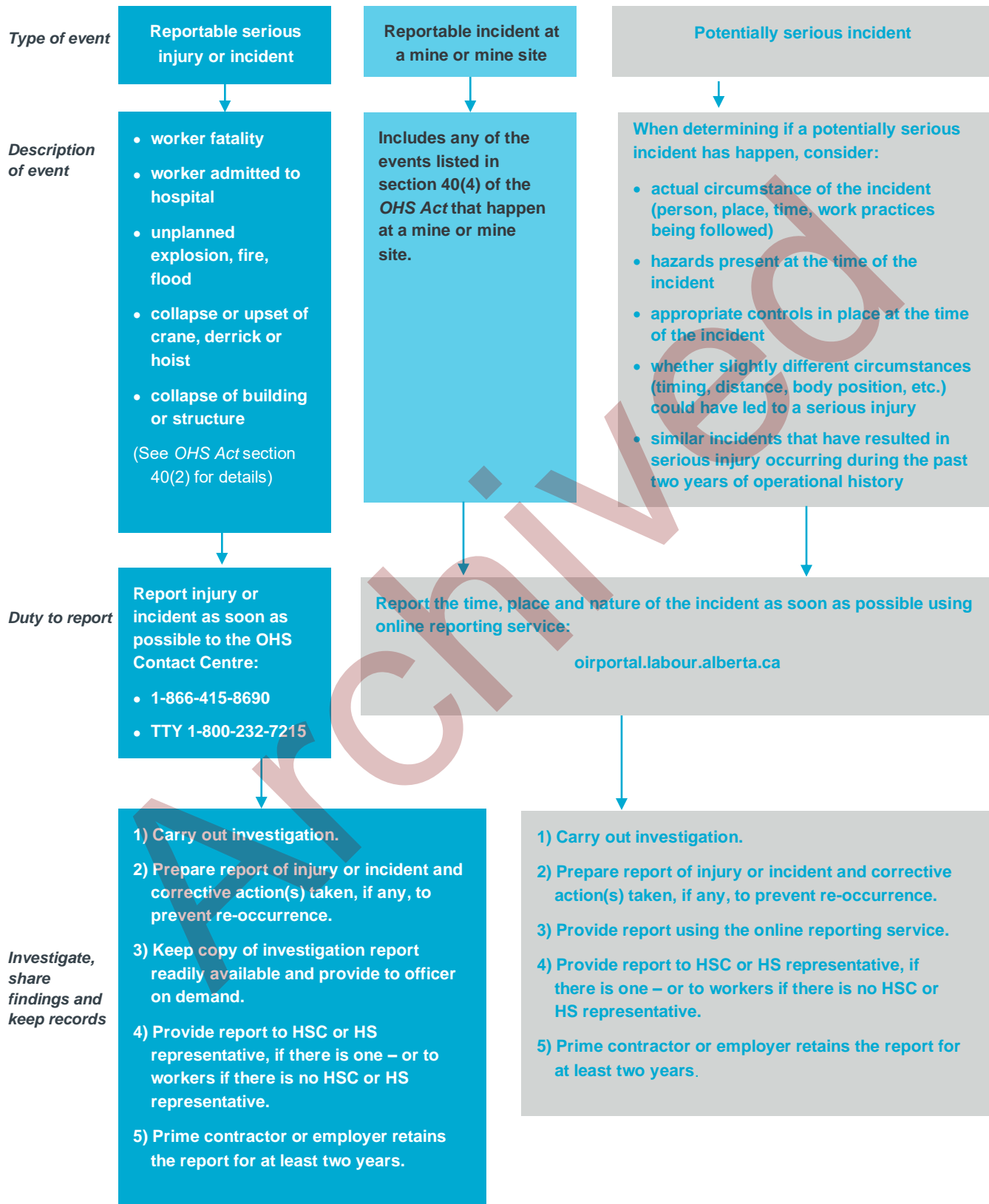
The following mine or mine site incidents must be reported as listed under section 40(4) of the *OHS Act*:

- an unexpected major ground fall or subsidence that endangers or may endanger workers, equipment or facilities
- an unplanned stoppage of the main underground ventilation system, if it lasts more than 30 minutes, or occurs repeatedly
- a vehicle that goes out of control
- an ignition of flammable gas, combustible dust or other material underground
- workers being withdrawn from a hazardous location under emergency conditions
- electrical equipment failures or incidents that cause, or threaten to cause, injury to workers or damage to equipment or facilities
- outbursts and inrushes
- an incident involving a hoist, sheave, hoisting rope, shaft conveyance, shaft, shaft timbering or head frame structure
- any other unusual incident or unexpected event that could have caused serious injury to a worker
- where the integrity of a dam or dike is affected at a mine or mine site (including cracking or evidence of weakening or subsidence, unexpected seepage or appearance of springs, the freeboard being less than adequate, or a washout or significant erosion is present)

Mine site means a location at which a facility for extracting a mineral by underground, strip, open pit or quarry operations exists or is to be developed, and includes:

- (i) a mineral processing plant, storage facility or discard disposal facility that exists or is to be developed in connection with a mine, and
- (ii) all connected access roads.

Flowchart for reporting incidents to Alberta Occupational Health and Safety



Reporting and investigating injuries and incidents

Potentially serious incident (PSI) examples

PSI	Non-Reportable Incident
A worker wearing a hard hat is struck on the head by a falling object and although stunned, recovers without injury. Several more, larger and heavier objects fall near the worker. If the worker had been in a slightly different position, they may have experienced a serious injury.	Workers are working overhead on a platform. There is netting in place to catch falling objects and the ground below the work area is quarantined using barriers. A worker drops a hammer and it falls into the netting.
A worker is crossing a road in a marked intersection to another work site and is nearly hit by a vehicle. The worker was obeying traffic signals at the intersection. Changes in any factor such as their walking speed, the speed of the vehicle, their attentiveness to their environment may have resulted in the worker sustaining a serious injury.	A worker is walking and trips over an uneven surface and falls. The potential for a serious injury is low. For this employer, no other persons have experienced a serious injury from an incident of this type.
An armed person storms onto a work site and threatens workers with death. The armed person robs the work site and leaves. Workers are not physically injured but experience psychological harm. The potential for serious incident in this example is high, given the many factors involved – any change in one factor may have led to a different outcome.	A worker is being harassed at work. The employer does not have history of this type of incident and has policies and procedures in place to deal with harassment and violence. The employer is working with the worker and the joint work site health and safety committee to address the issue.
A worker sweeping up and cleaning debris in a publicly accessible part of a work site receives a needlestick injury from a needle of unknown origin. The worker is sent to an urgent care centre to be assessed and for follow-up care. The hazards associated with the needle are unknown.	A restaurant worker is preparing food and cuts their finger. They are treated with first aid. The potential for serious injury is low.
A resident at a group home becomes aggressive towards their support worker but does not injure the worker physically or psychologically. The employer was aware of the resident's tendency towards aggressive behaviour but failed to inform workers.	A resident is known to be aggressive and all staff are made aware and trained to deal with aggressive residents. A resident is aggressive with staff and the staff implement training and controls appropriately.
During an arrest, a police officer is assaulted by a perpetrator. Personal protective equipment failed to protect the officer and they sustained a small non-serious cut to their throat. Under slightly different circumstances, the officer could have been seriously injured.	During an arrest, a police officer is assaulted by a perpetrator. Personal protective equipment and training allows the officer to de-escalate the situation.

A flag person controlling traffic at a highway construction project is nearly hit by a passing vehicle.	A flag person stands behind a barrier while controlling traffic at a highway construction project. Vehicle operators respect the barriers and the posted speed limit. A person in a passing vehicle tosses a cigarette from their vehicle and almost hits the flag person. Potential for serious injury is low.
A chemical substance is unexpectedly discharged into the open air at a product processing site when no persons are present. This was not a planned event. If a person was present, they would have sustained serious injury.	A chemical release at a plant is planned and all persons present are wearing appropriate personal protective equipment.
A vehicle is left unattended overnight on a slight slope at a work site. No workers are at the work site. A mechanical failure results in the vehicle rolling down-slope. Workers arrive the next day to find that the vehicle has crashed into fencing. No one was injured. If a person was in the wrong place, at the wrong time, they may have sustained serious injury.	An unattended vehicle's parking break fails, and the vehicle rolls three feet into a parking lot guard rail scratching the front head light.
While hoisting a motor, the weld on the lifting eye breaks. The area around and below the electric motor is not secured with appropriate controls. The motor falls. No one was injured. If a person was in the wrong place, at the wrong time, they could have sustained serious injury.	While hoisting a motor, the area around and below is secured with the appropriate controls. One of the hoisting straps inadvertently releases and the motor shifts.
A bicyclist ignored the "Road Closed" signs and proceeds to travel along the road. No barriers were placed around the open sewer. The bicyclist does not realize a sewer cover had been removed and lodges their front tire into the sewer. They are ejected from their bicycle and sustain injury to their face.	A sidewalk is under construction and barriers are placed to restrict access to this section of the sidewalk. A pedestrian tripped over the corner of the barrier and stumbles.

Contact us

OHS Contact Centre

(Complaints, questions, reporting serious incidents)

Anywhere in Alberta

- 1-866-415-8690

Edmonton & surrounding area

- 780-415-8690

Deaf or hearing impaired:

- 1-800-232-7215 (Alberta)
- 780-427-9999 (Edmonton)

OHS Online Incident Reporting service

(PSIs, mine or mine site incidents)

oirportal.labour.alberta.ca

Website

alberta.ca/OHS

Get copies of the *OHS Act*, Regulation and Code

Alberta Queen's Printer

qp.gov.ab.ca

Occupational Health and Safety

alberta.ca/ohs-act-regulation-code.aspx

For more information

Director's Order

alberta.ca/assets/documents/li-director-order-mine-or-mine-site-incident-scene.pdf

Reporting and investigating occupational health and safety incidents at a mine or mine site (LI016-2)

ohs-pubstore.labour.alberta.ca/li016-2

Reporting and investigating potentially serious incidents (LI016-1)

ohs-pubstore.labour.alberta.ca/li016-1

Role and duties of government occupational health and safety officers (LI046)

ohs-pubstore.labour.alberta.ca/li046

Sample investigation report template (LI016TMP)

ohs-pubstore.labour.alberta.ca/li016TMP

The role and duties of the prime contractor (LI018)

ohs-pubstore.labour.alberta.ca/li018

Work site health and safety committees and representatives

alberta.ca/work-site-health-safety-committees.aspx

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