# **Alberta Health**

# **Protection for Persons in Care**

## 2014–2015 Statistical Report

#### **Reports Attached**

Annual Statistical Comparison Quarterly Statistical Comparison Total Reports of Abuse Received, by Authority/Region/Setting Types of Abuse Client Demographics Types of Complainants Types of Individuals Involved Types of Individuals Involved, by Authority/Region/Setting Discontinued Reviews of Reports of Abuse Reports of Abuse Referred for Investigation Investigation Findings of Reports of Abuse

Health System Accountability and Performance

June 2015

Aberta Government

## Annual Summary of Statistics, 2014–2015

#### **Overview**

In 2014–15, there were 46% fewer cases of founded abuse than the previous three-year average.

Founded Cases of Abuse, by Type	2011–12	2012–13	2013–14	2014–15
Emotional	73	86	61	32
Basic Necessities	17	44	24	25
Physical	37	29	30	13
Medication	8	2	6	3
Sexual	5	4	2	5
Financial	1	1	0	0
Total	141	166	123	78

#### Types of abuse

The Act defines abuse as an act or omission that:

- causes serious bodily harm;
- causes serious emotional harm;
- subjects the client to non-consensual sexual contact, activity or behaviour;
- results in failing to provide adequate nutrition, adequate medical attention or another necessity of life without a valid consent, resulting in serious bodily harm;
- involves misappropriating, or improperly or illegally converting a significant amount of money or other valuable possessions;
- or results in the administration, withholding or prescribing of medication for an inappropriate purpose, resulting in serious bodily harm.

#### Who reported abuse

In 2014–15, 54% of those reporting abuse were service provider management or staff, 35% of reports came from family members of people in care, and 3% came from care residents themselves. The remaining 8% of reports were from persons who do not fall into those categories (e.g., volunteers, visitors, non-family members, and other third parties).

Complainant	2011–12	2012–13	2013–14	2014–15
Service Provider (Management or Staff)	50%	52%	52%	54%
Family	33%	30%	31%	35%
Self (Client)	9%	8%	7%	3%

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#### Individuals involved

In 2014–15, 320 individuals were involved in alleged abuse: 41 were other clients of the service provider, 2 were family members, and 262 were service providers. The remaining 15 were individuals who do not fall into those categories.

Individual Involved	2011–12	2012–13	2013–14	2014–15
Service Provider	451	492	371	262
Another Client	65	72	54	41
Other	21	22	23	15
Family	9	22	21	2

#### Locations

In 2014–15, 158 reports of abuse (including 199 allegations) involved clients receiving care from service providers funded by Alberta Health Services, and 62 reports of abuse (including 73 allegations) involved clients receiving care from service providers funded by Persons with Developmental Disabilities.

Reports of abuse and the included allegations involving Alberta Health Services-funded service providers, by zone, were as follows:

Alberta Health Services Zone	2011–12	2012–13	2013–14	2014–15
Calgary Zone	76 reports of abuse	79 reports of abuse	59 reports of abuse	44 reports of abuse
	102 allegations	110 allegations	87 allegations	60 allegations
Central Zone	56 reports of abuse	48 reports of abuse	31 reports of abuse	23 reports of abuse
	67 allegations	54 allegations	39 allegations	29 allegations
Edmonton Zone	112 reports of abuse	104 reports of abuse	70 reports of abuse	54 reports of abuse
	161 allegations	166 allegations	96 allegations	67 allegations
North Zone	16 reports of abuse	28 reports of abuse	16 reports of abuse	21 reports of abuse
	21 allegations	35 allegations	22 allegations	24 allegations
South Zone	18 reports of abuse	23 reports of abuse	34 reports of abuse	16 reports of abuse
	25 allegations	37 allegations	46 allegations	19 allegations

#### **Discontinued and ongoing investigations**

In 2014–15, reviews of 82 reports of abuse (86 allegations) were discontinued, compared to 99 reports of abuse (109 allegations) in 2013–14, 68 reports of abuse (73 allegations) in 2012–13, and 58 reports of abuse (69 allegations) in 2011–12.

There are ongoing investigations from both 2013–14 and 2014–15.



## Quarterly Statistical Overview, 2014–2015

Statiatical Paparta	Cotogorios	Q1:	Q2:	Q3:	Q4:
Statistical Reports	Categories	Apr. – June 2014	July – Sept. 2014	Oct. – Dec. 2014	Jan. – Mar. 2015
	AHS	58	30	30	81
Total Reports of Abuse	PDD	11	22	30	10
Received, by Authority/	Unique homes	6	3	2	2
Setting	Emergency shelters	0	0	1	0
	Total allegations	75	55	63	93
	Emotional	35	32	42	53
	Failure to provide necessities of life	23	14	16	28
Types of Abuse Reported	Financial	1	0	4	0
	Medication	2	2	1	1
	Physical	21	13	11	16
	Sexual	1	5	6	5
	Female	35	26	31	42
<b>Client Demographics</b>	Male	26	23	24	25
	Total Clients	61	49	55	67
	Family	24	16	15	26
	Other	2	6	6	4
	Self	2	1	1	3
Types of Complainants	Service provider, management	21	10	16	23
	Service provider, non-management	12	16	17	11

	Q1:	Q2:	Q3:	Q4:
ries	Apr. – June 2014	July – Sept. 2014	Oct. – Dec. 2014	Jan. – Mar. 2015
nt	10	6	11	14
	0	0	0	2
	1	5	3	6
ider	70	49	58	85
	64	31	34	94
	11	26	35	11
es	6	3	2	2
shelters	0	0	1	0
uals	81	60	72	107

Statistical Reports	Categories	Q1:	Q2:	Q3:	Q4:
Statistical Reports	Calegones	Apr. – June 2014	July – Sept. 2014	Oct. – Dec. 2014	Jan. – Mar. 2015
	Another client	10	6	11	14
Types of Individuals Involved in the Alleged	Family	0	0	0	2
Abuse	Other	1	5	3	6
	Service provider	70	49	58	85
	AHS	64	31	34	94
Turnen of Individuale	PDD	11	26	35	11
Types of Individuals Involved in the Alleged	Unique homes	6	3	2	2
Abuse, by Authority/ Setting	Emergency shelters	0	0	1	0
	Total individuals involved	81	60	72	107
	Investigation not necessary	30	12	18	19
Discontinued Reviews of Reports of Abuse	Investigation withdrawn	3	1	0	3
	Total allegations	33	13	18	22
Reports of Abuse Referred	Referred to PPC investigator	43	38	50	52
for Investigation	Referred to police	1	0	0	1
	Total allegations	44	38	50	53
	Founded	22	21	23	11
Investigation Findings of Reports of Abuse	Not founded	31	39	48	29
	Total abuses	53	60	71	40

PPC: Protection for Persons in Care AHS: Alberta Health Services PDD: Persons with Developmental Disabilities program

## Total Reports of Abuse Received by Authority/Region/Setting

Start Date: April 1, 2014

End Date: March 31, 2015

## Legend: R – Reports of Abuse

A – Allegations

		2014																		2015								
		Α	pr	M	ay	J	un	J	ul	A	ug	S	ер	0	oct	No	vc	De	€C	Ja	an	Fe	eb	M	ar		Tot	al
Authority	Region	R	A	R	Α	R	Α	R	Α	R	Α	R	Α	R	Α	R	Α	R	A	R	Α	R	Α	R	A		R	Α
Alberta Health Services	Calgary Zone	6	10	4	4	4	6	3	3	1	1	1	2	3	5	2	2	6	6	7	11	4	7	3	3	4	44	60
	Central Zone	1	1	6	7	2	2	1	1	3	3	0	0	1	1	2	3	0	0	2	2	4	8	1	1		23	29
	Edmonton Zone	2	3	6	7	10	10	1	1	1	1	5	5	2	2	3	3	1	1	7	10	9	15	7	9	ę	54	67
	North Zone	1	1	1	1	0	0	3	4	2	3	2	2	2	2	0	0	1	1	4	5	2	2	3	3	2	21	24
	South Zone	2	2	2	2	1	2	4	4	0	0	0	0	0	0	0	0	3	4	3	4	1	1	0	0	-	16	19
	Total	12	17	19	21	17	20	12	13	7	8	8	9	8	10	7	8	11	12	23	32	20	33	14	16	1	58	199
Persons with Developmental	Calgary	0	0	0	0	0	0	3	4	7	7	0	0	1	3	0	0	0	0	0	0	0	0	3	3		14	17
Disabilities	Central	1	1	2	2	1	1	1	1	2	2	4	6	0	0	6	7	2	2	0	0	2	2	0	0	2	21	24
	Edmonton	1	3	3	3	0	0	0	0	1	1	1	1	5	5	1	1	3	3	1	2	1	2	0	0	-	17	21
	Northeast	0	0	0	0	0	0	0	0	0	0	0	0	4	4	1	1	0	0	0	0	0	0	1	1		6	6
	Northwest	0	0	1	1	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0		1	1
	South	0	0	0	0	0	0	0	0	0	0	0	0	2	2	1	2	0	0	0	0	0	0	0	0		3	4
	Total	2	4	6	6	1	1	4	5	10	10	5	7	12	14	9	11	5	5	1	2	3	4	4	4		62	73

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Alberta Health, Protection for Persons in Care Reports of Abuse by Authority, Region or Setting 2014-2015

		2014																		2015								
		A	pr	M	ay	J	un	J	ul	A	ug	S	ер	0	ct	N	ov	D	ec	Já	an	Fe	eb	М	ar		Tota	al
Setting	Setting Types	R	A	R	Α	R	Α	R	Α	R	Α	R	Α	R	Α	R	Α	R	Α	R	Α	R	Α	R	Α	F	R	Α
Seniors' Lodges/Unique Homes	Lodges	2	4	0	0	0	0	0	0	2	2	0	0	2	2	0	0	0	0	0	0	0	0	1	1		7	9
(Associated with a Management Body)	Unique Homes	0	0	0	0	0	0	1	1	0	0	0	0	0	0	0	0	0	0	0	0	1	1	0	0	2	2	2
	Total	2	4	0	0	0	0	1	1	2	2	0	0	2	2	0	0	0	0	0	0	1	1	1	1	2	9	11
Unique Homes (Not Associated	Unique Homes	0	0	2	2	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	2	2	2
with a Management Body)	Total	0	0	2	2	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0		2	2
Homeless Shelters	Homeless Shelters	0	0	0	0	0	0	0	0	0	0	0	0	1	1	0	0	0	0	0	0	0	0	0	0		1	1
	Total	0	0	0	0	0	0	0	0	0	0	0	0	1	1	0	0	0	0	0	0	0	0	0	0			1
Women's Shelters	Women's Shelters	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(	0	0
	Total	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0		0	0
Youth Shelters	Youth Shelters	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(	0	0
	Total	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0		0	0
Total Paparta of Abusa / /	Magations (par Month)	16	25	27	29	10	21	17	19	19	20	13	16	23	27	16	19	16	17	24	34	24	38	19	21	21	32 2	206
Total Reports of Abuse / A	Anegations (per Month)	16	25	21	29	18	21	17	19	19	20	13	01	23	21	10	19	10	17	24	34	24	30	19	21	Ζ.	52 2	200
Cumulative Total Reports	of Abuse / Allegations (Fiscal Year)		25	43	54	61	75	78	94	97	114	110	130	133	157	149	176	165	193	189	227	213	265	232	286	23	32 2	286

Total Reports of Abuse Received by Authority/Region/Setting

- [1] Authority: The body that is the source of funding, such as Alberta Health Services (AHS) or Persons with Developmental Disabilities (PDD) in Alberta Human Services.
- [2] Setting: The types of facilities in which funding is provided by: Alberta Seniors for Seniors Lodges and Unique Homes; or Alberta Human Services for Homeless Shelters, Women's Shelters and Youth Shelters.
- [3] Unique Homes: Seniors' supportive housing in lodge-type accommodations.
- [4] Management Body: A management body established under the Alberta Housing Act. Management bodies operate and administer housing accommodation.
- [5] At some time during this fiscal year the Persons with Developmental Disabilities programs were re-aligned and a new region, North Central, was established. In this report, the reports of abuse for this region are combined with those of Northeast Region.
- [6] The Protection for Persons in Care complaints officers review all reports of abuse received and determine if a report of abuse should be discontinued (see "Discontinued Reviews of Reports of Abuse by Month), if it should be referred elsewhere (see "Reports of Abuse Referred for Investigation, by Month"), or if it should be investigated under the *Protection for Persons in Care Act*, SA 2009, cP-29.1. Therefore, not all reports received are investigated by Protection for Persons in Care (PPC).
- [7] One **report of abuse** may involve multiple **allegations** resulting in one or more **types of abuse**. For example, a client may have been physically struck on the arm, which caused bruises to the arm and the client became upset and was fearful because of the incident. In this example, one allegation was reported and the client experienced both emotional harm and physical harm (two types of abuse). Further, this same client was being transferred by mechanical lift and the transfer belt slipped causing the client a large laceration on his chest. The client has experienced bodily harm due to something that someone did or did not do correctly (basic necessities). Because it is being reported by the same complainant and involves the same client, it is considered a second allegation within the one report of abuse. The total abuses (three) are greater than the total number of reports of abuse (one) and the total number of allegations (two).

## Types of Abuse Reported, by Month Received

Start Date: April 1, 2014

End Date: March 31, 2015

	2014									2015		
Type Of Abuse	Apr	Мау	Jun	Jul	Aug	Sep	Oct	Nov	Dec	Jan	Feb	Mar
Emotional	10	13	12	8	13	11	21	9	12	18	21	14
Failure to provide necessities of life	11	7	5	5	5	4	7	6	3	9	14	5
Financial	0	1	0	0	0	0	4	0	0	0	0	0
Medication	1	1	0	1	1	0	0	1	0	0	1	0
Physical	6	11	4	7	1	5	3	5	3	7	4	5
Sexual	0	0	1	4	1	0	3	1	2	3	1	1
Total Alleged Abuses	28	33	22	25	21	20	38	22	20	37	41	25

#### Notes:

#### [1] Types of Abuse Reported

Under the Protection for Persons in Care Act, SA 2009, cP-29.1 the definitions of abuse are:

- Emotional An act or omission that causes serious emotional harm.
- Failure to provide necessities of life An act or omission that results in failing to provide adequate nutrition, adequate medical attention or another necessity of life without a valid consent, resulting in serious bodily harm.
- Financial An act or omission that involves misappropriating or improperly or illegally converting a significant amount of money or other valuable possessions.
- Medication An act or omission that results in the administration, withholding or prescribing of medication for an inappropriate purpose, resulting in serious bodily harm.
- **Physical** An act or omission that causes serious bodily harm.
- Sexual An act or omission that subjects an individual to non-consensual sexual contact, activity or behavior.

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- [2] One **report of abuse** may involve multiple **allegations** resulting in one or more **types of abuse**. For example, a client may have been physically struck on the arm, which caused bruises to the arm and the client became upset and was fearful because of the incident. In this example, one allegation was reported and the client experienced both emotional harm and physical harm (two types of abuse). Further, this same client was being transferred by mechanical lift and the transfer belt slipped causing the client a large laceration on his chest. The client has experienced bodily harm due to something that someone did or did not do correctly (basic necessities). Because it is being reported by the same complainant and involves the same client, it is considered a second allegation within the one report of abuse. The total abuses (three) are greater than the total number of reports of abuse (one) and the total number of allegations (two).
- [3] The Protection for Persons in Care complaints officers review all abuse reports received and determine if a report of abuse should be discontinued (see "Discontinued Reviews of Reports of Abuse by Month), referred elsewhere (see "Reports of Abuse Referred for Investigation, by Month"), or if it should be investigated under the *Protection for Persons in Care Act*, SA 2009, cP-29.1. Therefore, not all reports received are investigated by Protection for Persons in Care.

## **Client Demographics: Age and Sex**

Start Date: April 1, 2014

End Date: March 31, 2015

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Legend:	M – Male

#### F – Female

Tot – Total

				_	_											_													_					_		_				
	201	4																											201	5										
		Apr			Мау	7		Ju	n			Jul			Aug			Sep			Oct			Nov			Dec			Jan			Feb			Mar			Total	
Age	М	F	Tot	М	F	Tot	t M	F	Tc	ot	М	F	Tot	М	F	Tot	М	F	Tot	М	F	Tot	М	F	Tot	М	F	Tot	М	F	Tot	М	F	Tot	М	F	Tot	М	F	All
N/A	0	0	0	0	0	0	0	0	C	)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
18 - 25	1	0	1	1	2	3	1	0	1		0	0	0	0	0	0	0	0	0	4	1	5	0	0	0	0	1	1	1	0	1	0	1	1	2	0	2	10	5	15
26 - 35	0	0	0	1	1	2	1	0	1		3	0	3	2	1	3	0	0	0	0	3	3	0	3	3	1	2	3	0	0	0	0	0	0	0	1	1	8	11	19
36 - 45	0	1	1	1	1	2	1	0	1		1	0	1	0	2	2	2	0	2	1	0	1	1	0	1	0	0	0	0	0	0	0	1	1	1	0	1	8	5	13
46 - 55	1	0	1	1	2	3	0	0	C	)	0	3	3	0	0	0	1	2	3	3	2	5	1	1	2	1	1	2	0	1	1	1	1	2	0	2	2	9	15	24
56 - 65	1	1	2	1	0	1	1	1	2	2	0	1	1	3	3	6	0	0	0	1	0	1	2	3	5	0	0	0	2	1	3	2	1	3	1	2	3	14	13	27
66 - 70	1	1	2	1	2	3	1	0	1		1	0	1	0	1	1	1	0	1	1	1	2	2	0	2	1	0	1	0	1	1	0	0	0	0	2	2	9	8	17
71 - 75	0	0	0	0	1	1	0	2	2	2	0	0	0	0	0	0	0	0	0	0	1	1	0	0	0	0	0	0	1	0	1	0	0	0	0	0	0	1	4	5
76 - 80	1	0	1	1	1	2	1	0	1		0	1	1	0	1	1	0	0	0	0	0	0	0	1	1	0	1	1	1	0	1	0	5	5	1	0	1	5	10	15
31 - 85	1	4	5	1	2	3	1	2	3	3	2	0	2	0	0	0	2	2	4	0	1	1	0	2	2	2	0	2	2	5	7	1	3	4	0	3	3	12	24	36
36 - 90	1	2	3	0	3	3	1	4	5	5	0	3	3	1	1	2	0	1	1	0	3	3	0	0	0	3	0	3	1	4	5	3	2	5	0	1	1	10	24	34
91 - 95	0	0	0	2	2	4	0	0	C	)	2	0	2	2	2	4	0	1	1	0	1	1	0	0	0	0	2	2	0	1	1	1	0	1	0	3	3	7	12	19
96 - 100	0	0	0	0	0	0	1	0	1		0	0	0	0	0	0	0	1	1	0	0	0	0	0	0	0	1	1	2	1	3	0	0	0	0	0	0	3	3	6
100+	0	0	0	0	0	0	0	0	C	)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	2	0	2	0	0	0	2	0	2
	_																				r				_					_			r						F	
Total	7	9	16	10	17	27	9	9	1	8	9	8	17	8	11	19	6	7	13	10	13	23	6	10	16	8	8	16	10	14	24	10	14	24	5	14	19	98	134	232

#### Notes:

The age categories increase by increments of ten years between 26 and 65 and by increments of five years after 65.

## Types of Complainants, by Month Received

Start Date:	April 1, 2014
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End Date: March 31, 2015

	2014	014 2										2015				
Relationship To Client	Apr	May	Jun	Jul	Aug	Sep	Oct	Nov	Dec	Jan	Feb	Mar				
Family	10	9	5	6	5	5	5	3	7	5	16	5				
Other	1	0	1	2	1	3	2	1	3	2	1	1				
Self	0	1	1	0	1	0	0	0	1	0	1	2				
Service provider - management	3	9	9	6	2	2	5	7	4	11	5	7				
Service provider - non-management	2	8	2	3	10	3	11	5	1	6	1	4				
Total Complainants	16	27	18	17	19	13	23	16	16	24	24	19				

#### Notes:

#### **Types of Complainants**

Under the Protection for Persons in Care Act, SA 2009, cP-29.1, a complainant is the individual who reported the abuse.

Other: Volunteers, visitors, non-family members and other third parties.

Self: Clients who report the abuse themselves.

## Types of Individuals Involved in the Alleged Abuse, by Month Received

Start Date: April 1, 2014 End Date: March 31, 2015

2014											2015				
Relationship To Client	Apr	Мау	Jun	Jul	Aug	Sep	Oct	Νον	Dec	Jan	Feb	Mar			
Another Client	4	4	2	4	1	1	1	4	6	2	8	4			
Family	0	0	0	0	0	0	0	0	0	0	0	2			
Dther	0	0	1	3	1	1	2	0	1	2	1	3			
Service Provider	26	26	18	13	22	14	29	19	10	40	31	14			
Total Individuals Involved	30	30	21	20	24	16	32	23	17	44	40	23			

#### Notes:

#### Types of Individuals Involved in the Alleged Abuse

- [1] Under the Protection for Persons in Care Act, SA 2009, cP-29.1 an "individual involved" means a person who allegedly abused a client or who allegedly failed to prevent abuse of a client.
- [2] Other: Volunteers, visitors, non-family members and other third parties.
- [3] The categories of individual involved only appear in this table if there is an instance of it.

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# Type of Individuals Involved in the Alleged Abuse by Authority/Setting, Based on Month Received

Start Date: April 1, 2014

End Date: March 31, 2015

		2014									2015			
		Apr	Мау	Jun	Jul	Aug	Sep	Oct	Nov	Dec	Jan	Feb	Mar	Total
Authority	Relationship To Client													
Alberta Health Services	Another Client	0	3	2	3	0	0	0	2	4	2	6	4	26
	Family	0	0	0	0	0	0	0	0	0	0	0	1	1
	Other	0	0	1	2	1	1	1	0	1	2	1	3	13
	Service Provider	22	19	17	9	7	8	9	10	7	38	28	9	183
	Total	22	22	20	14	8	9	10	12	12	42	35	17	223
Persons with Developmental	Another Client	4	1	0	0	1	1	0	2	2	0	2	0	13
Disabilities	Other	0	0	0	1	0	0	1	0	0	0	0	0	2
	Service Provider	0	5	1	4	13	6	18	9	3	2	2	5	68
	Total	4	6	1	5	14	7	19	11	5	2	4	5	83
Setting	Relationship To Client													
Seniors' Lodges/Unique Homes	Client	0	0	0	1	0	0	1	0	0	0	0	0	2
(Associated with a Management Body)	Family	0	0	0	0	0	0	0	0	0	0	0	1	1
	Service Provider	4	0	0	0	2	0	1	0	0	0	1	0	8
	Total	4	0	0	1	2	0	2	0	0	0	1	1	11
Unique Homes (Not Associated	Service Provider	0	2	0	0	0	0	0	0	0	0	0	0	2
with a Management Body)	Total	0	2	0	0	0	0	0	0	0	0	0	0	2
Homeless Shelters	Service Provider	0	0	0	0	0	0	1	0	0	0	0	0	1
	Total	0	0	0	0	0	0	1	0	0	0	0		1
Total		30	30	21	20	24	16	32	23	17	44	40	23	320



June 2015

#### Types of Individuals Involved by Authority/Setting

- [1] Under the *Protection for Persons in Care Act,* SA 2009, cP-29.1 an "individual involved" means a person who allegedly abused a client or who allegedly failed to prevent abuse of a client.
- [2] "Other" refers to volunteers, visitors, non-family members and other third parties.
- [3] "Unique Homes" refers to seniors' supportive housing in lodge-type accommodations.
- [4] Management Body: refers to a management body established under the *Alberta Housing Act*. Management bodies operate and administer housing accommodation.
- [5] The categories of individuals involved only appear under each authority in this table if there is an instance of it.

## **Discontinued Reviews of Reports of Abuse, by Month**

Start Date: April 1, 2014

End Date: March 31, 2015

Legend: R – Reports of Abuse

A – Allegations

PPC – Protection for Persons in Care

		Vexatio	olous, ous or in Faith		wo-Year dline		ation Not ssary		awn by Iainant		hin PPC ope		Total
Year	Month Discontinued	R	A	R	Α	R	Α	R	A	R	Α	R	A
2014	April	0	0	0	0	6	7	1	1	0	0	7	8
	Мау	0	0	0	0	8	8	1	1	0	0	9	9
	June	0	0	0	0	15	15	1	1	0	0	16	16
	July	0	0	0	0	1	1	1	1	0	0	2	2
	August	0	0	0	0	5	5	0	0	0	0	5	5
	September	0	0	0	0	6	6	0	0	0	0	6	6
	October	0	0	0	0	5	5	0	0	0	0	5	5
	November	0	0	0	0	5	5	0	0	0	0	5	5
	December	0	0	0	0	8	8	0	0	0	0	8	8
2015	January	0	0	0	0	5	5	0	0	0	0	5	5
	February	0	0	0	0	8	11	1	1	0	0	9	12
	March	0	0	0	0	3	3	2	2	0	0	5	5
	Totals:	0	0	0	0	75	79	7	7	0	0	82	86

Alberta

#### **Discontinued Reviews of Reports of Abuse**

- [1] The complaints officers review all reports of abuse received and determine if a report should be discontinued (as presented here), if it should be referred elsewhere (see "Reports of Abuse Referred for Investigation, by Month"), or if it should be investigated under the *Protection for Persons in Care Act*, SA 2009, cP-29.1. Therefore, not all reports received are investigated by Protection for Persons in Care (PPC).
- [2] A Protection for Persons in Care (PPC) complaints officer may discontinue the review of a report of abuse for any of the following reasons:
  - a. Frivolous, vexatious, or in bad faith: The report of abuse was frivolous, vexatious, or made in bad faith.
  - b. Past two-year deadline: The report of abuse was made more than two years after the date that the alleged abuse occurred.
  - c. **Investigation not necessary:** No investigation was necessary, given all the circumstances (e.g. the service provider already took appropriate action, another governing body is already investigating the allegation).
  - d. Withdrawn by complainant: The report of abuse was withdrawn by the complainant.
  - e. Not within PPC scope: The report of abuse was not within scope of the Protection for Persons in Care Act, SA 2009, cP-29.1.
- [3] One report of abuse may involve multiple allegations resulting in one or more types of abuse. For example, a client may have been physically struck on the arm, which caused bruises to the arm and the client became upset and was fearful because of the incident. In this example, one allegation was reported and the client experienced both emotional harm and physical harm (two types of abuse). Further, this same client was being transferred by mechanical lift and the transfer belt slipped causing the client a large laceration on his chest. The client has experienced bodily harm due to something that someone did or did not do correctly (basic necessities). Because it is being reported by the same complainant and involves the same client, it is considered a second allegation within the one report of abuse. The total abuses (three) are greater than the total number of reports (one) of abuse and the total number of allegations (two).

## **Reports of Abuse Referred for Investigation, by Month**



Start Date: April 1, 2014

**End Date:** March 31, 2015

#### Legend: R – Reports of Abuse

A – Allegations

PPC – Protection for Persons in Care

			d to PPC tigator	Referred to Other Body			red to lice	Referr Alberta		Total		
Year	Month Referred	R	A	R	A	R	A	R	A	R	Α	
2014	April	12	20	0	0	0	0	0	0	12	20	
	Мау	9	11	0	0	0	0	0	0	9	11	
	June	10	12	0	0	1	1	0	0	11	13	
	July	11	15	0	0	0	0	0	0	11	15	
	August	7	8	0	0	0	0	0	0	7	8	
	September	14	15	0	0	0	0	0	0	14	15	
	October	19	25	0	0	0	0	0	0	19	25	
	November	7	8	0	0	0	0	0	0	7	8	
	December	15	17	0	0	0	0	0	0	15	17	
2015	January	14	22	0	0	0	0	0	0	14	22	
	February	15	22	0	0	0	0	0	0	15	22	
	March	8	8	0	0	1	1	0	0	9	9	
	Totals:	141	183	0	0	2	2	0	0	143	185	

#### **Reports of Abuse Referred for Investigation**

- [1] The complaints officers review all reports of abuse received and determine if a report should be discontinued (see "Discontinued Reviews of Reports of Abuse by Month), if it should be referred elsewhere (as presented here), or if it should be investigated under the *Protection for Persons in Care Act*, SA 2009, cP-29.1. Therefore, not all reports received are investigated by Protection for Persons in Care (PPC).
- [2] Any report of abuse may involve multiple allegations, and each allegation may involve one or more types of abuse.
- [3] A report of abuse may be referred by Protection for Persons in Care (PPC) to certain parties, depending on the circumstances of the report:
  - a) **Referred to PPC investigator:** The report of abuse is referred to an external investigator contracted by PPC.
  - b) **Referred to other body:** The report of abuse is referred to a committee, body or person authorized under another enactment to investigate the alleged abuse, e.g. College of Physicians and Surgeons of Alberta, Mental Health Patient Advocate.
  - c) **Referred to police:** The report of abuse is referred to a police service because the subject matter could be an offence under the *Criminal Code* (Canada).
  - d) **Referred to Alberta Justice:** The report of abuse is referred to Alberta Justice and Solicitor General because the subject matter could be an offence under another enactment of Alberta.

#### **Investigation Findings of Reports of Abuse**, by Month Based on the Month the Investigation is Closed

Alberta

Start Date: April 1, 2014

End Date: March 31, 2015

Legend:

R – Reports of Abuse

F – Founded (supported by the evidence gathered in the investigation)

A – Allegations

s of Abuse

N – Not founded (not supported by the evidence gathered in the investigation)

				Emot	ional	Phy	vsical		isic ssities	Fina	ncial	Sex	cual	Medi	cation	TOT n (	
Year file closed	Month file closed	R/A	Total Abuses	F	N	F	Ν	F	N	F	N	F	N	F	N	F	N
2014	April	20/22	23	7	7	2	2	1	4	0	0	0	0	0	0	10 (43.5%)	13 (56.5%)
	May	13/16	19	5	6	0	2	1	1	0	2	0	2	0	0	6 (31.6%)	13 (68.4%)
	June	10/11	11	3	3	0	1	2	0	0	0	1	1	0	0	6 (54.5%)	5 (45.5%)
	July	22/25	25	1	7	3	5	4	3	0	0	1	1	0	0	9 (36.0%)	16 (64.0%)
	August	19/27	29	0	9	4	3	3	6	0	0	1	1	0	2	8 (27.6%)	21 (72.4%)
	September	4/7	7	0	0	0	1	3	0	0	0	0	0	2	1	5 (71.4%)	2 (28.6%)
	October	32/49	64	13	18	3	12	3	9	0	0	1	1	0	4	20 (31.3%)	44 (68.7%)
	November	4/5	5	0	0	0	0	1	1	0	0	0	1	1	1	2 (40.0%)	3 (60.0%)
	December	2/2	2	1	1	0	0	0	0	0	0	0	0	0	0	1 (50.0%)	1 (50.0%)
2015	January	0/0	0	0	0	0	0	0	0	0	0	0	0	0	0	0 (0.0%)	0 (0.0%)
	February	25/30	38	2	12	1	7	7	5	0	0	1	1	0	2	11 (28.9%)	27 (71.1%)
	March	2/2	2	0	2	0	0	0	0	0	0	0	0	0	0	0 (0.0%)	2 (100.0%)

TOTAL	R/A	Abuses	Founded	Not Founded
10//12	153/196	225 (100%)	78 (34.7%)	147 (65.3%)

#### **Investigation Findings of Reports of Abuse**

#### **Definition of Abuse**

Under the Protection for Persons in Care Act, SA 2009, cP-29.1 the definition of abuse includes:

**Emotional** - an act or omission that causes serious emotional harm.

**Physical** - an act or omission that causes serious bodily harm.

**Failure to provide basic necessities of life** - an act or omission that results in failing to provide adequate nutrition, adequate medical attention or another necessity of life without a valid consent, resulting in serious bodily harm.

**Financial** - an act or omission that involves misappropriating or improperly or illegally converting a significant amount of money or other valuable possessions.

Sexual - an act or omission that subjects an individual to non-consensual sexual contact, activity or behaviour.

**Medication** - an act or omission that results in the administration, withholding or prescribing of medication for an inappropriate purpose, resulting in serious bodily harm.

[1] In these notes, Protection for Persons in Care is referred to by its acronym PPC.

- [2] This report is now using "not founded" to replace "unfounded" to better align the language with what appears throughout in the *Protection for Persons in Care Act,* SA 2009, cP-29.1.
- [3] Multiple Abuses: Any report of abuse may involve multiple allegations, and each allegation may involve one or more types of abuse. For example, a client may have been physically struck on the arm, which caused bruising to the arm and the client became upset and was fearful because of the incident. In this example, one incident occurred but the client experienced both emotional harm and physical harm (two types of abuse). Further, this same client was being transferred by mechanical lift and the transfer belt slipped causing the client a large laceration on his chest. The client has experienced bodily harm due to something that someone did or did not do correctly (basic necessities). Because it is being reported by the same complainant and involves the same client, it is considered a second allegation within the one report of abuse. The total abuses (three) are greater than the total number of reports of abuse (one) and the total number of allegations (two).
- [4] A file is considered **closed** when the investigation has been concluded, the investigation report and decision have been delivered, and compliance has been achieved.
- [5] In the 2014-2015 fiscal year, a total of 196 allegations (involving 225 abuses) were closed. The following is the breakdown by type of abuse: Emotional: of the investigated abuses, 97 were emotional. Of these, 32 (33.0%) were founded, while 65 (67.0%) were not founded. Physical: of the investigated abuses, 46 were physical. Of these, 13 (28.3%) were founded, while 33 (71.7%) were not founded.

Basic Necessities: of the investigated abuses, 54 were failure to provide a basic necessity. Of these, 25 (46.3%) were founded, while 29 (53.7%) were not founded.

Financial: of the investigated abuses, two (100.0%) were financial; both were not founded.

Sexual: of the investigated abuses, 13 were sexual in nature. Of these, five (38.5%) were founded, while eight (61.5%) were not founded. Medication: of the investigated abuses, 13 pertained to medication abuse. Of these, three (23.1%) were founded, while ten (76.9%) were not founded.

- [6] In the first quarter (Q1) of this fiscal year, one report of abuse was received involving a client who is an independent adult living in unfunded seniors housing. PPC erred in accepting and investigating this report, as unfunded seniors housing does not fall under the jurisdiction of the *Protection for Persons in Care Act.* This case has been removed from this report.
- [7] **Appeals:** In the 2014-2015 fiscal year, the PPC director's decision regarding 17 abuses were appealed. Ten of these were heard by the appeal panel:
  - Two of the director's decisions were reversed
  - Eight of the director's decisions were confirmed
  - The seven remaining appeals are waiting to be scheduled in the next quarter.

The final results of the appeals heard by the appeal panel were:

- Two cases of emotional abuse were confirmed by the appeal panel as being founded.
- One case of emotional abuse was confirmed by the appeal panel as being not founded.
- Two cases of physical abuse were confirmed by the appeal panel as being not founded.
- One case of failing to provide a basic necessity was confirmed by the appeal panel as founded.
- One case of failing to provide a basic necessity was reversed by the appeal panel to not founded.
- One case of medication abuse was confirmed by the appeal panel as not founded.
- One case of medication abuse was reversed by the appeal panel to founded.